

RIBBLE VALLEY BOROUGH COUNCIL REPORT TO POLICY & FINANCE COMMITTEE

Agenda Item No.

meeting date: TUESDAY, 7 AUGUST 2012
 title: OMBUDSMAN'S ANNUAL REVIEW REPORT 2011/2012
 submitted by: CHIEF EXECUTIVE
 principal author: DIANE RICE, HEAD OF LEGAL AND DEMOCRATIC SERVICES

1 PURPOSE

1.1 To inform Committee about the complaints referred to the Local Government Ombudsman relating to this Authority, for the year ended 31 March 2012.

1.2 Relevance to the Council's ambitions and priorities

- Community Objectives - }
 - Corporate Priorities - }
 - Other Considerations - }
- The Council aims to be a well-managed Council providing efficient services based on identified customer needs. Complaints to the Ombudsman and the process of resolving complaints and responding to the Ombudsman's investigation helps to inform the way the Council delivers services to its customers.

2 BACKGROUND

2.1 Each year the Local Government Ombudsman publishes statistics relating to the number of complaints decided relating to each authority. These statistics are then included in the Ombudsman's annual report.

2.2 A copy of the annual report for this Council is attached at Appendix 1.

2.3 During the period 31 March 2011 to 31 March 2012 six enquiries were received by the Ombudsman of which 6 were referred for investigation.

2.3 The 6 cases referred for investigation by the Ombudsman were determined as follows:

- Not enough evidence of fault - 2
- No or minor injustice or other - 4

2.4 The Ombudsman has commented as follows:

"I am pleased to say that I have no concerns about your authority's response times and there are no issues arising from the complaints that I want to bring to your attention".

3 RISK ASSESSMENT

3.1 The approval of this report may have the following implications

- Resources – No implications identified.
- Technical, Environmental and Legal – No implications identified.
- Political – No implications identified.

- Reputation – No implications identified.
- Equality & Diversity – No implications identified.

4 **CONCLUSION**

4.1 Note the information contained in Appendix 1.

DIANE RICE
HEAD OF LEGAL AND DEMOCRATIC SERVICES

MARSHALL SCOTT
CHIEF EXECUTIVE

BACKGROUND PAPERS

1 None.

For further information please ask for Diane Rice, extension 4418.

P&F/070812/DER/EL

22 June 2012

Local Government
OMBUDSMAN

Mr M Scott
Chief Executive & Director
Borough Council of Ribble Valley
Council Offices
Church Walk
CLITHEROE
Lancashire BB7 2RA

Dear Mr Scott

Annual Review Letter

I am writing with our annual summary of statistics on the complaints made to me about your authority for the year ended 31 March 2012. I hope the information set out in the enclosed tables will be useful to you.

The statistics include the number of enquiries and complaints received by our Advice Team, the number forwarded by the Advice Team to my office, and decisions made on complaints about your authority. The decision descriptions have been changed to more closely follow the wording in our legislation and to give greater precision. Our guidance on statistics provides further explanation ([see our website](#)).

The statistics also show the time taken by your authority to respond to written enquiries.

I am pleased to say that I have no concerns about your authority's response times and there are no issues arising from the complaints that I want to bring to your attention.

Changes to our role

I am also pleased to have this opportunity to update you on changes to our role. Since April 2010 we have been exercising jurisdiction over the internal management of schools on a pilot basis in 14 local authority areas. This was repealed in the Education Act 2011 and the power restored to the Secretary of State for Education. During the short period of the pilot we believe we have had a positive impact on the way in which schools handle complaints. This was endorsed by independent research commissioned by the Department for Education which is available [on their website](#).

Our jurisdiction will end in July 2012 and all complaints about internal school matters will be completed by 31 January 2013.

From April 2013, as a result of the Localism Act 2011, local authority tenants will take complaints about their landlord to the Independent Housing Ombudsman (IHO). We are working with the IHO to ensure a smooth transition that will include information for local authority officers and members.

Supporting good local public administration

We launched a new series of Focus reports during 2011/12 to develop our role in supporting good local public administration and service improvement. They draw on the learning arising from our casework in specific service areas. Subjects have included school admissions, children out of school, homelessness and use of bankruptcy powers. The reports describe

good practice and highlight what can go wrong and the injustice caused. They also make recommendations on priority areas for improvement.

We were pleased that a survey of local government revenue officers provided positive feedback on the bankruptcy focus report. Some 85% said they found it useful.

In July 2011, we also published a report with the Centre for Public Scrutiny about how complaints can feed into local authority scrutiny and business planning arrangements.

We support local complaint resolution as the most speedy route to remedy. Our training programme on effective complaint handling is an important part of our work in this area. In 2011/12 we delivered 76 courses to councils, reaching 1,230 individual learners.

We have developed our course evaluation to measure the impact of our training more effectively. It has shown that 87% of learners gained new skills and knowledge to help them improve complaint-handling practice, 83% made changes to complaint-handling practice after training, and 73% said the improvements they made resulted in greater efficiency.

Further details of publications and training opportunities are on [our website](#).

Publishing decisions

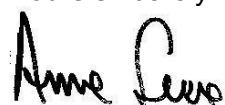
Following consultation with councils, we are planning to launch an open publication scheme during the next year where we will be publishing on our website the final decision statements on all complaints. Making more information publicly available will increase our openness and transparency, and enhance our accountability.

Our aim is to provide a comprehensive picture of complaint decisions and reasons for councils and the public. This will help inform citizens about local services and create a new source of information on maladministration, service failure and injustice.

We will publish a copy of this annual review with those of all other English local authorities on our website on 12 July 2012. This will be the same day as publication of our Annual Report 2011/12 where you will find further information about our work.

We always welcome feedback from councils and would be pleased to receive your views. If it would be helpful, I should be pleased to arrange a meeting for myself or a senior manager to discuss our work in more detail.

Yours sincerely



Anne Seex
Local Government Ombudsman

LGO advice team

Enquiries and complaints received	Housing	Planning & Development	Total
Advice given	1	0	1
Premature complaints	0	1	1
Forwarded to Investigative team (resubmitted)	0	1	1
Forwarded to Investigative team (new)	0	3	3
Total	1	5	6

Investigative team - Decisions

Not investigated			Investigated			Report	Total
No power to investigate	No reason to use exceptional power to investigate	Investigation not justified & Other	Not enough evidence of fault	No or minor injustice & Other	Injustice remedied during enquiries		
0	0	0	2	4	0	0	6

	No of first enquiries	Avg no of days to respond
Response times to first enquiries	1	31.0